



UNITED STATES PATENT AND TRADEMARK OFFICE

#3 Sector \$

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/804,406	03/12/2001	James W. Forbes	5699-32

CONFIRMATION NO. 1123

FORMALITIES LETTER



OC00000005989754

Oldham & Oldham Co., L.P.A.
Twin Oaks Estate
1225 West Market Street
Akron, OH 44313-7188

Date Mailed: 04/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/03/2001 HMOHAMM1 00000015 09804406

01 FCB:101	710.00	OP
02 FCB:105	130.00	OP
03 FCB:103	738.00	OP
04 FCB:102	720.00	OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1458.
 - \$738 for 41 total claims over 20.
 - \$720 for 9 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2298.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



#3

Practitioner's Docket No. 5699-32

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Forbes, James W.
Application No.: 09/804,406 Group No.: 3617
Filed: March 12, 2001 Examiner: Unknown
For: DROPPED DECK BEAM RAIL ROAD CAR STRUCTURE

Box Missing Part
Assistant Commissioner for Patents
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed April 20, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

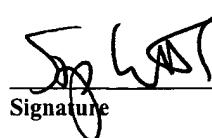
MAILING

deposited with the United States Postal Service
with sufficient postage as first class mail in an
envelope addressed to the Assistant
Commissioner for Patents, Washington, D.C.
20231.

Date: 18 May 2001

FACSIMILE

transmitted by facsimile to the Patent and
Trademark Office at (703) _____.


Signature

Stephen L. Grant
(type or print name of person certifying)

COMPLETION FEES

III.

1. Filing Fee

Original patent application (37 C.F.R. Section 1.16(a))	\$710.00
--	----------

2. Fees for Claims

Each independent claim in excess of 3 (37 C.F.R. Section 1.16(b))	\$720.00
--	----------

Each claim in excess of 20 (37 C.F.R. Section 1.16(c))	\$738.00
---	----------

3. Surcharge Fees

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))	\$130.00
--	----------

4. Assignment (See "ASSIGNMENT COVER SHEET")	\$40.00
---	---------

Total Completion Fees	\$2,338.00
-----------------------	------------

EXTENSION OF TIME

IV. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

V. The total fee due is:

Completion fees	\$2,338.00
Extension fee (if any)	\$0.00
Total Fee Due	\$2,338.00

PAYMENT OF FEES

VI. Enclosed is a check in the amount of 2,338.00.

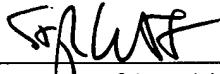
Please charge Account No. 15-0450 for any fees that may be due by this paper.

AUTHORIZATION TO CHARGE ADDITIONAL FEES

VII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 15-0450.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees) 37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

Date: 13 May 2001



Signature of Practitioner

Reg. No.: 33,390
Tel. No.: 330-864-5550
Customer No.: 021324

Stephen L. Grant
Oldham & Oldham Co., L.P.A.
Twin Oaks Estate
1225 West Market Street
Akron, OH 44313-7188
USA